

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NO. KELO1 P-145 <b>10/553941</b> U.S. APPLICATION NO. Unknown, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/EP2004/04381	INTERNATIONAL FILING DATE April 22, 2004	PRIORITY DATE CLAIMED April 22, 2003
TITLE OF INVENTION <b>A DEVICE AND METHOD FOR USE IN SURGERY</b>		
APPLICANT(S) FOR DO/EO/US Patrick Leahy		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):  <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)):  <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):  <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11. to 20. below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

US APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/553941</div>		INTERNATIONAL APPLICATION NO. PCT/EP2004/04381		ATTORNEY'S DOCKET NO. KEL01 P-145	
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The following fees are submitted:  21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300.00  22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) <input type="checkbox"/> If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0.00 <input checked="" type="checkbox"/> All other situations ..... \$200.00  23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) <input type="checkbox"/> If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0.00 <input type="checkbox"/> Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100.00 <input checked="" type="checkbox"/> International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$ 400.00 <input type="checkbox"/> All other situations ..... \$500.00  <div style="text-align: right;">TOTAL OF 21, 22 and 23 =</div>	Calculations \$300       \$       \$200       \$400       \$900	Office use only																				
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;">Total Sheets</td> <td style="width:15%;">Extra sheets</td> <td style="width:30%;">Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td style="width:15%;">RATE</td> <td></td> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> <td></td> <td>x \$250.00</td> <td>\$</td> </tr> </table>	Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		- 100 =	/50 =		x \$250.00	\$												
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																			
- 100 =	/50 =		x \$250.00	\$																		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30	\$130																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;">CLAIMS</td> <td style="width:15%;">NUMBER FILED</td> <td style="width:15%;">NUMBER EXTRA</td> <td style="width:15%;">RATE</td> </tr> <tr> <td>Total claims</td> <td>20 - 20 =</td> <td>0</td> <td>x \$ 50.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>x \$ 200.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$360.00</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS</td> <td>=</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	20 - 20 =	0	x \$ 50.00	Independent claims	3 - 3 =	0	x \$ 200.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	TOTAL OF ABOVE CALCULATIONS			=	\$     \$1030	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																			
Total claims	20 - 20 =	0	x \$ 50.00																			
Independent claims	3 - 3 =	0	x \$ 200.00																			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00																			
TOTAL OF ABOVE CALCULATIONS			=																			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	\$515																					
SUBTOTAL =	\$515																					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).	\$																					
TOTAL NATIONAL FEE =	\$515																					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	\$																					
TOTAL FEES ENCLOSED =	\$515																					
	Amount to be refunded:	\$																				
	charged:	\$																				

JC12 Rec'd PCT/PL 20 OCT 2005

US APPLICATION NO. (if known, see 37 CFR 1.5)

10/553941

INTERNATIONAL APPLICATION NO.

PCT/EP2004/04381

ATTORNEY'S DOCKET NO.

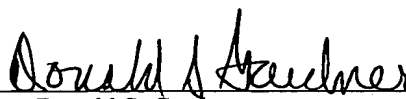
KEL01 P-145

- a. ☒ A check in the amount of \$515 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 22-0190 in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0190. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Date: OCTOBER 20, 2005

10/553941

PATENT  
KEL01 P-145

JC12 Rec'd PCT/PTC 20 OCT 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

International Application No. : PCT/EP2004/04381  
International Filing Date : April 22, 2004  
Applicants : Patrick Leahy  
For : A DEVICE AND METHOD FOR USE IN SURGERY

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Dear Sir:

CERTIFICATE OF EXPRESS MAIL

I certify that the attached return postcard; Transmittal Form PTO-1390 (in duplicate); Patent Application Bibliographic Data Form; true copy of the specification, claims and drawings of PCT International Application No. PCT/EP2004/04381 consisting of 15 pages of Specification, 3 pages of Claims (20 claims), 1 page Abstract, three (3) sheets of drawings (in duplicate); Declaration (unsigned); Preliminary Amendment; Information Disclosure Statement with attached 1 page of PTO-1449 and attached International Search Report, and a check in the amount of \$515.00 for the filing fee, are being deposited with the United States Postal Service as Express Mail in an envelope having Express Mail Label Number EV708292652US addressed to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

on Oct 20, 2005.

Ruth A. Mason  
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DSG/ram  
Enclosures